

JC17 Rec'd PCT/PTO 31 MAR 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

ROHIT V. KAPPOR, et al.

Serial No:

Filed:

Title: **METHOD AND APPARATUS FOR AN  
E-COMMERCE MESSAGE USING A SMS**

Group Art Unit:

Intellectual Property  
Law Department  
International Business  
Machines Corporation  
11400 Burnet Road  
Austin, Texas 78758

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(b) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449.

The undersigned certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 CFR 1.97(e)(1).

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In Place of FORM PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	ATTORNEY DOCKET NO. CA920020072US1	SERIAL NO. 10529988
	Applicant: ROHIT V. KAPOOR, et al.	
	Filing Date:	Group:

Reference Designation		U. S. PATENT DOCUMENTS				
Examiner Initial	Document Number	Publication Date	Inventor(s) Name	Class	Subclass	Filing Date If Appropriate
/S.L./ AA	US6125281A	09/26/2000	Wells, Mark, et. al.	455	466	03/04/1997
/S.L./ AB	US5719918A	02/17/1998	Serbetcioglu, Bekir, et al.	380	271	07/06/1995

FOREIGN PATENT DOCUMENTS				
Examiner Initial	Document Number	Publication Date	Inventor Name	
/S.L./ AC	WO98/42173A	10/01/1998	LAHTI, et al.	
/S.L./ AD	WO01/69436A	09/20/20014	HAMALAI-NEN, et al.	

NON PATENT LITERATURE (Including Author, Title, Date, Pertinent Pages, Etc.)		
Examiner Initial		

Examiner: /Sun LV/

Date Considered:

06/16/2008

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.L./

**10/529988**  
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In the event a fee is required in connection with the enclosed Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,



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